

Monarch E&S Insurance Services

40 W. Cochran Street, Simi Valley, CA 93065
 Telephone: 805-577-6800 Fax: 805-577-1915
 Lic.# 0697233

**PHYSICIANS AND SURGEONS PROFESSIONAL
 LIABILITY APPLICATION
 (CLAIMS MADE COVERAGE)**

1. **Full Name of Applicant:** _____
 2. **Principal Office Address:** _____

 3. **Home Address:** _____

 4. **Social Security #:** _____ **DEA #:** _____
 5. **List the States and License Numbers where you practice:** _____

 6. **Date of Birth:** _____ **Place of Birth:** _____
 7. **Are you a U.S. Citizen?** ____ Yes ____ No **If NO, please indicate your status and date of entry into the United States:** _____
 8. **What is your medical or surgical specialty:** _____
What percentage of your practice is dedicated to this specialty? _____
 9. **What is your sub-specialty:** _____
What percentage of your practice is dedicated to this specialty? _____
 10. **Do you limit your practice to the above specialties?** ____ Yes ____ No **If No, what other specialties do you practice? Provide details.** _____

 11. **Are you American Board certified?** ____ Yes ____ No
Medical Specialty: _____ **Date Certified:** _____
Medical Specialty: _____ **Date Certified:** _____
 12. **Type of Practice (check all that apply)**
 Individual Employee Member of Multi-person Corp or Assoc
 Individual Corporation Partnership Other _____
 13. **What is your total annual revenue?** \$100,000 or less \$250,001-\$499,999
 \$100,001 - \$250,000 \$500,000 or more
 14. **Please provide the names of all facilities that you practice at and your interest in each facility.**
Name of Clinic or Facility and Location Interest (Owner, Partner, Employee?)

- *Attach a separate attachment if necessary.**
15. **Are you seeking coverage for your work at all of the above facilities?** ____ Yes ____ No **If No, please list those facilities for which you do not require coverage and explain why coverage isn't needed.** _____

 16. **Please provide the number of professionals you employ or contract with and whether or not they carry their own individual medical malpractice coverage.**

	<u>Employed</u>	<u>Contracted</u>	<u>Carry their own Med Mal policy?</u>
Physicians Assistants	_____	_____	___ Yes ___ No
Nurse Practitioners	_____	_____	___ Yes ___ No
Surgical Technicians	_____	_____	___ Yes ___ No
CRNA's	_____	_____	___ Yes ___ No
Chiropractors	_____	_____	___ Yes ___ No
RN's	_____	_____	___ Yes ___ No
LPN's, Nurse Aides	_____	_____	___ Yes ___ No
Other: _____	_____	_____	___ Yes ___ No
Other: _____	_____	_____	___ Yes ___ No

*Please attach copies of dec pages on above professionals that carry their own malpractice policies.

17. Are all of the above individuals licensed in accordance with applicable state and federal regulations?
 ___ Yes ___ No **If NO, please attach explanation.**
18. List the hospitals at which you are currently a staff member: _____

19. Briefly describe the type and extent of your hospital privileges: _____

20. Are you the Chief or Head of a hospital department? ___ Yes ___ No **If YES, which department(s):**

21. Are you the medical director of a nursing home or assisted living facility? **If so, please provide the name of the facility:**

22. Are you the medical director of any other facilities? **If so, please provide the names of each facility:**

23. From what Medical School did you graduate? _____
 City, State and Country of Medical School _____
 Degree: _____ Year of Graduation: _____
If foreign medical school graduate, are you certified by the Educational Council for Medical School Graduates? ___ Yes ___ No. If YES, state the year: _____
24. Internship? ___ Yes ___ No **If Yes, complete the following:**
 Location: _____ Dates: From _____ To _____
 Type: _____ Completed? ___ Yes ___ No
25. Residency? ___ Yes ___ No **If YES, complete the following for each:**
 Location: _____ Dates: From _____ To _____
 Type: _____ Completed? ___ Yes ___ No
 Location: _____ Dates: From _____ To _____
 Type: _____ Completed? ___ Yes ___ No
26. Where have you practiced your profession since completion of training:
 In _____ From _____ To _____
 In _____ From _____ To _____
 In _____ From _____ To _____
27. Additional Medical Training? ___ Yes ___ No **If Yes, provide details including type, location, and date of training:** _____

28. Have you participated in any continuing medical education program(s) within the past five years?
_____ Yes _____ No If YES, please provide details: _____

29. Indicate memberships in professional societies: _____

30. Do you perform one or more of the following:	Yes	No
A. Endoscopic Procedures, other than sigmoidoscopy or proctoscopy. If Yes, describe: _____	_____	_____
B. Catheterization, other than swan-ganz, umbilical cord or urethral catheterization or arterial line in a peripheral vessel. If Yes, describe: _____	_____	_____
C. Arteriography, lymphangiography, myelography or phenmoencephalography?	_____	_____
D. Interventional radiology-percutaneous transluminal angioplasty or embolization?	_____	_____
E. Radiation therapy, including radium implants? If Yes, describe: _____	_____	_____
F. Chemobrasion or dermabrasion?	_____	_____
G. Hair Transplantation or Suturing of Hairpieces?	_____	_____
H. Mohs Micrographic surgery? If YES, describe: _____	_____	_____
I. Acupuncture? If YES, describe: _____	_____	_____
J. Prenatal care and normal deliveries? If YES, Do you perform home deliveries? Do you only perform prenatal care? Do you supervise nurse midwives? If YES, when do you refer: _____ weeks gestation	_____ _____ _____ _____	_____ _____ _____ _____
K. Dilation and curettage?	_____	_____
L. Needle Biopsies? If YES, describe: _____	_____	_____
M. Electroshock therapy or hypnosis? If YES, describe: _____	_____	_____
N. Radial keratotomy, excimer laser PRK, LASIK or any other surgical vision correction procedure?	_____	_____

Do you perform any of the following? (continued) Yes No

O. Surgery, other than incision of boils and superficial _____

abscesses or suturing skin and superficial fascia?
 If Yes, please attach a list of all surgical procedures.

- P. Non-spontaneous, induced abortions? If YES, _____
 What is maximum trimester? _____
 - Q. Vasectomies or reversal of vasectomies? _____
 - R. Hysterectomies? If YES, do you perform laparoscopic
 hysterectomies? _____
 - S. Cholecystectomies? If YES, do you perform laparoscopic
 cholecystectomies? _____
 If YES, how many performed as of this date: _____
 - T. Tonsillectomies and/or Adenoidectomies? _____
 - U. Caesarian sections? _____
 - V. Spinal Surgery? If you also perform chemonucleolysis,
 check here: ____ and/or percutaneous lumbar
 disectomy, check here: _____
 - W. Administration of general, spinal or caudal block
 anesthesia? _____
 - X. Open reduction of fractures? _____
 - Y. Organ transplantation? If YES, describe: _____

 - Z. Sex Change Operations? _____
 - AA. Weight Reduction Surgery including gastric bypass or
 other stomach banding procedures? If YES, which
 procedures? _____

 - BB. Experimental research, surgical research, or experimental
 therapy in human patients? If YES, describe: _____
 - CC. Cosmetic/Plastic Surgery? If YES, complete the following:
 Do you perform breast augmentation? _____
 Do you perform breast reductions? _____
 Do you perform liposuction? If YES, what is the
 maximum amount of cc's removed? _____
 Do you perform fat recycling? If YES, in what parts
 of the body? _____
 Do you perform vaginoplasty or labiaplasty? _____
 Do you use silicone implants? If Yes, in which parts
 of the body: _____
 Do you perform Botox injections? If Yes, in which parts
 of the body: _____
 - DD. Penile lengthening or enhancement procedures? _____
- Do you perform any of the following? (continued) **Yes** **No**
- EE. Any other surgical procedures not shown above? _____

Please describe. _____

***PLEASE ATTACH A LIST OF ALL SURGICAL PROCEDURES YOU PERFORM**

31. Do you perform surgery in your office? Yes No If YES, please list. _____

32. Do you perform surgery in other non-hospital facilities? Yes No (If YES, what type of facility and list the surgical procedures: _____

33. In the course of surgery does a Board Certified Anesthesiologist provide the anesthesia? Yes No
If No, please provide details. _____

34. Do you do any hospital emergency room work? Yes No If YES, Is the emergency room care:
Only for your own patients? Yes No
Required for staff privileges? Yes No
How many hours per month: _____
Does the hospital cover you for malpractice while you work in the emergency room? Yes No
Are you requesting coverage for your emergency room work? Yes No
35. Do you assist in surgery:
On your own patients? Yes No
On patients of others? Yes No
36. If your practice includes plastic surgery, specify the percentage of your practice devoted to:
_____ % Traumatic Surgery _____ % Cosmetic/Elective Surgery
37. If your practice includes weight reduction/control (other than by diet and exercise), specify the percentage of patients that are exclusively weight control: _____ %.
Do you prescribe any weight control drugs? Yes No If YES, list drugs prescribed. _____

Do you dispense supplements for weight control? Yes No If Yes, list supplements dispensed. _____

Do you use injections for weight control? Yes No If YES, list drugs injected: _____

- | | | |
|--|-------|-------|
| 38. Have you or any of your employees: | Yes | No |
| A. Ever been the subject of investigative or disciplinary proceedings or reprimanded by a governmental or administrative agency, hospital, or professional association? Attach a copy of Complaint and Consent Order document if applicable. | _____ | _____ |
| B. Ever been convicted for an act committed in violation of any law or ordinance other than traffic offenses? | _____ | _____ |
| Have your or any of your employees? (continued) | YES | NO |
| C. Ever been treated for alcoholism or drug addiction or | _____ | _____ |

undergone personal psychiatric treatment or has any administrative agency, hospital or professional association requested or required you be evaluated for an alleged mental condition and/or alcohol or drug addiction?

D. Ever had any state profession license or license to prescribe or dispense narcotics refused, suspended, revoked, renewal refused or accepted only on special terms or ever voluntarily surrendered same? _____

E. Ever had any professional liability insurance cancelled, declined, refused to renew or accepted only on special terms? _____

F. Ever failed any medical licensing or specialty organization examination? _____

G. Do you have any chronic illnesses or defects? If Yes, please describe. _____

39. Do you supervise any individuals other than your own employees? _____ Yes _____ No If YES, please provide detailed explanation of your responsibilities, relationship and whether or not these individuals have their own medical malpractice coverage: _____

40. Are you under contract to any individual, firm or corporation other than your own? _____ Yes _____ No If YES, attach explanation including details of responsibilities. If this contract contains a hold harmless agreement then attach a copy of the contract language.

41. Are you in the employ of, or under contract to any governmental entity? _____ Yes _____ No If YES, please provide details and outline your duties. _____

42. Do you offer professional advice to the public such as through a website, radio or TV broadcasts, newsletters, etc? _____ Yes _____ No If YES, please provide details. _____

43. Do you advertise your professional services in any manner other than a simple listing in a telephone directory? _____ Yes _____ No If YES, please provide details and attach copies of all advertising brochures. _____

44. Are you associated with any agency or organization that engages in any kind of advertising for, or solicitation of patients? _____ Yes _____ No If YES, please provide details. _____

45. Average Weekly Patient Load: _____ Total Patients Annually: _____
Total surgeries performed annually: _____

46. Average number of hours worked per week: _____

47. Do you anticipate any changes in your practice? _____ Yes _____ No If YES, please describe: _____

48. List the prior medical malpractice insurance carried for each of the past 5 years beginning with most current:

<u>INSURANCE COMPANY</u>	<u>LIMITS OF LIABILITY</u>	<u>POLICY PERIOD</u>	<u>PREMIUM</u>	<u>RETRO DATE</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

*Attach a copy of the declarations page of your most recent policy.

49. Do you own, operate or provide professional services for, or at, any health care facility or business enterprise not already clearly described in this application? Yes No If YES, please describe: _____

50. Has any claim or suit for alleged malpractice been brought against you? Yes No
 If YES, how many total claims or incidents: _____
 Please complete the Supplemental Claim Information Form attached to this application for each and every claim. Also, please attach 10 years of currently valued company loss runs.

51. Has any claim or suit for alleged malpractice been made against you that has NOT been reported to a prior insurer? Yes No If Yes, please complete the Supplemental Claim Information Form attached to this application for each and every claim.

52. Are you aware of any acts, errors, omissions or circumstances which may result in a malpractice claim or suit being made or brought against you? Yes No If Yes, please provide details including name of claimant, date of occurrence, date of first contact, allegation and current status of incident.

The applicant declares that the above statements and representations are true and correct and that no facts have been suppressed or misstated. The completion of this application does not bind the Company to sell nor the applicant to purchase this insurance, but any subsequent contract issued will be in full reliance upon the statements and representations made in this application and this application will be made a part of the policy. The applicant understands that any subsequent contract issued by the Company will be issued on a claims made form.

Signature of Applicant

Date

Please attach the following documents to this application:

- C.V. or resume
- Five years of currently valued company loss runs
- Copies of any disciplinary actions, stipulation orders or probation documents
- Copies of declarations pages for all employees or contractors that carry their own med mal
- Copy of applicant's most current declarations page

SUPPLEMENTAL CLAIM INFORMATION FORM
(Complete one form for each claim)

1. Name of applicant/named insured: _____

 2. Name of other parties or defendants named in suit: _____

 3. Date of alleged error or occurrence, or contact date: _____
 4. Date claim was made: _____
 5. Name of claimant: _____
 6. Name of Insurance Company handling your claim: _____
 7. Present status of claim or final disposition: _____

- Circle One: **CLOSED** **OPEN**
8. Defense costs paid to date inclusive of any deductible: _____
 9. If closed, total loss paid, inclusive of any deductible: _____
 10. If claim is open or pending, what are the insurers reserves?
 Defense: _____ Loss: _____
 11. Description of case and events including allegations and assessment of liability: _____

 12. Claimants last settlement demand: _____

Date

Signature